

## BENEFICIAL OWNERSHIP INFORMATION

TCA by E*TRADE Account Number	

To fight the funding of terrorism and money laundering activities, federal law requires financial institutions to obtain, verify, and record information that identifies each person who opens an account. When you open an account, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also utilize a third-party information provider for verification purposes and/or ask for a copy of your driver's license or other identifying documents.

This form requires you to provide the name, address, date of birth and social security number (or passport number or other similar information, in the case of foreign persons) for both of the following:

- Control Person An individual with significant responsibility for managing the entity (for example, a trustee, chief executive officer, chief financial officer, chief operating officer, managing member, general partner, president, vice president, or treasurer).
- Beneficial Owner Each individual, if any, who owns, directly or indirectly, 10% or more of the equity interests (e.g. shares) of the entity. An individual is an indirect beneficial owner if his/her ownership interest is held through another entity.

If the individual who has significant responsibility for managing the entity also owns 10% or more of the entity, please enter the information in both the Control Person and Beneficial Owner sections below.

I hereby certify, to the best of my knowledge, that the beneficial ownership and control person information provided below is complete and correct.

O						
Signature	Date					
CONTROL PERSON						
Name (First, Middle initial, Last)	Title					
Date of Birth (mm/dd/yyyy)	Residence Status					
	U.S. Citizen Resident Alien Neither U.S. Citizen nor Resident Alien					
U.S. Federal ID	Country of Citizenship					
Physical Address						
City	State (U.S. only)	U.S. Postal/Zip Code				
Foreign Province/Region Name or Code	Foreign Postal Code					
Country						
IF THE CONTROL PERSON IS NOT A U.S. CITIZEN, PLEASE F	ROVIDE THE FOLLOWING INFO	DRMATION.				
Passport ID / Government ID	Country of Issuance Government ID or Passport					
Country of Legal Residence	Passport ID / Government ID Expiration Date					



If there are one or more beneficial owners who own, directly or indirectly, 10% or more of the equity interests of the legal entity, please complete the sections below for each beneficial owner. (This section does not apply to Non-Profit Organizations)

BENEFICIAL OWN		BENEFICIAL OWNER 2							
Name (Title prefix, First, Middle initial, Last)			Name (Title prefix, First, Middle initial, Last)						
Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Ali	■ Neither	Country of Citizenship	Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Alie	■ Neither	Country of Citizenship		
U.S. Federal ID	-	Percen	age of Ownership	U.S. Federal ID		Percenta	Percentage of Ownership		
Physical Address		<u> </u>		Physical Address					
City	State (U.S. only)		stal/Zip Code	City	State (U.S. o	nly) U.S. Pos	/) U.S. Postal/Zip Code		
Foreign Province/Region Na	ame or Code	Foreigr	Postal Code	Foreign Province/Region Name or Code		Foreign Postal Code			
Country		<u>'</u>		Country					
IF THE BENEFICIA	L OWNER IS	S NOT A U	.S. CITIZEN, PLEASE	PROVIDE THE FOLL	OWING INF	ORMATIO	N.		
Passport ID / Government ID	)	Country of Is Passport	suance Government ID or	Passport ID / Government ID		Country of Issuance Government ID or Passport			
Country of Legal Residence	1	Passport ID /	Government ID Expiration Date	Country of Legal Residence		Passport ID / Government ID Expiration Date			
BENEFICIAL OWN	ER 3			BENEFICIAL OWN	ER 4				
Name (Title prefix, First, Middle initial, Last)				Name (Title prefix, First, Middle initial, Last)					
Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Ali	■ Neither	Country of Citizenship	Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Alie	■ Neither	Country of Citizenship		
U.S. Federal ID Percentage of Ownership				U.S. Federal ID Percentage of Ownership					
Physical Address		•		Physical Address		•			
City	State (U.S. only)		stal/Zip Code	City State (U.S. o		nly) U.S. Pos	tal/Zip Code		
Foreign Province/Region Name or Code			Postal Code	Foreign Province/Region Name or Code		Foreign Postal Code			
Country				Country					
IF THE BENEFICIA	L OWNER IS	S <i>NOT</i> A U	.S. CITIZEN, PLEASE	PROVIDE THE FOLL	OWING INF	ORMATIO	N		
Passport ID / Government ID  Country of Issuance Government ID of Passport			suance Government ID or	Passport ID / Government ID Country of Issuance Government ID Passport			uance Government ID or		
Country of Legal Residence Pass			Government ID Expiration Date	Country of Legal Residence		Passport ID / Government ID Expiration Date			

BENEFICIAL OWNER 5					BENEFICIAL OWNER 6					
Name (Title prefix, First, Middle initial, Last)					Name (Title prefix, First, Middle initial, Last)					
Date of Birth (mm/dd/yyyy)	Resident Statu U.S. Citizer Resident A	□ Nei	ther	Country of Citizenship	Date of Birth (mm/dd/yyyy)		esident Status ] U.S. Citizen ] Resident Alie		Neither	Country of Citizenship
U.S. Federal ID Percentage of Ownership					U.S. Federal ID Percentage of Ownership					nge of Ownership
Physical Address					Physical Address					
City	State (U.S. only)		U.S. Postal/Zip Code		City State (U.S. on		nly)	ly) U.S. Postal/Zip Code		
Foreign Province/Region Na	ime or Code	Fore	eign	Postal Code	Foreign Province/Region Name or Code			Foreign Postal Code		
Country					Country					
IF THE BENEFICIA	L OWNER I	S NOT A	U.	S. CITIZEN, PLEASE	PROVIDE THE FOLL	_0	WING INF	ORI	IOITAN	N.
			ountry of Issuance Government ID or issport		Passport ID / Government ID		Country of Issuance Government ID or Passport			
Country of Legal Residence Pas			ID / G	Government ID Expiration Date	Country of Legal Residence			Passport ID / Government ID Expiration Date		
		•								
BENEFICIAL OWNER 7				BENEFICIAL OWNE	ER	8				
Name (Title prefix, First, Midd	le initial, Last)				Name (Title prefix, First, Middle	e ini	tial, Last)			
Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Alien			Country of Citizenship	Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Alien			] Neither	Country of Citizenship
U.S. Federal ID	ederal ID Percer			nge of Ownership	U.S. Federal ID			Percentage of Ownership		
Physical Address					Physical Address					
City	City State (U.S. only)		U.S. Postal/Zip Code		City	y State (U.S. only		nly)	ly) U.S. Postal/Zip Code	
Foreign Province/Region Name or Code Foreign			eign	Postal Code Foreign Province/I		gion Name or Code			Foreign Postal Code	
Country					Country					
IF THE BENEFICIA	L OWNER	S NOT A	U.	S. CITIZEN, PLEASE	PROVIDE THE FOLL	_O	WING INF	ORI	IOITAN	٧.
Passport ID / Government ID  Country of Issuance Government ID of Passport			uance Government ID or	Passport ID / Government ID Country of Issuance Government ID Passport			uance Government ID or			
Country of Legal Residence Pa			ID / G	Government ID Expiration Date	Country of Legal Residence			Passport ID / Government ID Expiration Date		

BENEFICIAL OWNER 9				BENEFICIAL OWNER 10					
Name (Title prefix, First, Middle	e initial, Last)		Name (Title prefix, First, Middle initial, Last)						
Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Alie	☐ Neither	Country of Citizenship	Date of Birth (mm/dd/yyyy)	Resident Status U.S. Citizen Resident Alier	☐ Neither	Country of Citizenship		
U.S. Federal ID Percentage of Ownership				U.S. Federal ID Percentage of Ownership					
Physical Address			Physical Address						
City	State (U.S. or	u.S. Pos	stal/Zip Code	City	State (U.S. only) U.S. I		S. Postal/Zip Code		
Foreign Province/Region Name or Code Foreign Postal Code			Postal Code	Foreign Province/Region Name or Code Foreign Postal Code					
Country			Country						
IF THE BENEFICIAL	L OWNER IS	NOT A U.	S. CITIZEN, PLEASE	PROVIDE THE FOLL	OWING INFO	ORMATION	N.		
		Country of Iss Passport	uance Government ID or			Country of Issuance Government ID or Passport			
Country of Legal Residence		Passport ID / 0	Government ID Expiration Date	Country of Legal Residence		Passport ID / Government ID Expiration Date			