

Trusted Contact Person (TCP)

Institutional Advisor Services



By choosing to provide information about a Trusted Contact Person (TCP), you authorize Axos Advisor Services to contact and to disclose information about your account to that person in the following circumstances: to address possible financial exploitation, to confirm the specifics of your current contact information, health status, or the identity of any legal guardian, executor, trustee or holder of a power of attorney, or as otherwise permitted by applicable law. Your Trusted Contact Person should be someone other than your financial advisor.

Account holder name: _____

Account number: _____

I designate the person identified below as my trusted contact person. This person is age 18 or older. I authorize Axos Advisor Services to contact my trusted contact person and disclose information about my account:

- to address possible financial exploitation;
- to confirm the specifics of my current contact information, health status, or the identity of any legal guardian, executor, trustee, or holder of a power of attorney; or
- as otherwise permitted by Financial Industry Regulatory Authority Rule 2165 (Financial Exploitation of Specified Adults).

I understand that (1) Axos Advisor Services is not required to contact my trusted contact person; and (2) the completion of this form is optional and I may withdraw it at any time by notifying Axos Advisor Services in writing. If I would like to change my trusted contact person, I may do so by giving Axos Advisor Services a newly-signed Trusted Contact Person Form with the box checked below to indicate that the new form supersedes the previous form.

Trusted Contact person

First name*: _____

Last name*: _____

Relationship: _____

Country Code*: _____

Phone number*: _____

Email address: _____

*If you choose to designate a TCP, these are required fields

Check here if this contact authorization supersedes previous contact authorizations.

Signature of Account Holder _____

Date: _____ Printed name: _____

